### E36: Measurement of $\Gamma(K^+ \rightarrow e^+v) / \Gamma(K^+ \rightarrow \mu^+v)$ and Search for heavy sterile neutrino using the TREK detector system

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\* Supported by DOE Early Career Award DE-SC0003884

#### Outline

- Physics case for E36
   Test of µ-e universality (LFU)
   Heavy sterile neutrino search (HNS)
   Light U(1) gauge boson search (LBS)
- Status of funding
- Status of detector preparation
- Status of collaboration

#### From the minutes of PAC16 (January 2013):

The PAC congratulates the collaboration for the progress made since PAC-15. Concerning the PID, possible correlations between rejection factors should be evaluated. In addition, it would be very desirable to schedule an engineering run for a full-system test with all detector components.

Progress of the experiment now depends on the outcome of the funding requests.

### Lepton universality in Standard Model K<sub>12</sub>

# Standard Model: $\Gamma(K_{l2}) = g_l^2 \frac{G^2}{8\pi} f_K^2 m_K m_l^2 \left(1 - \frac{m_l^2}{m_K^2}\right)^2$

• In the ratio of  $\Gamma(K_{e2})$  to  $\Gamma(K_{\mu 2})$ , hadronic form factors are cancelled

• 
$$R_{K}^{SM} = \frac{\Gamma(K^{+} \to e^{+}\nu)}{\Gamma(K^{+} \to \mu^{+}\nu)} = \frac{m_{e}^{2}}{m_{\mu}^{2}} \left(\frac{m_{K}^{2} - m_{e}^{2}}{m_{K}^{2} - m_{\mu}^{2}}\right)^{2} \frac{(1 + \delta_{r})}{(1 + \delta_{r})}$$
  
*helicity suppression radiative correction (Internal Brems.)*

 Strong helicity suppression of the electronic channel enhances sensitivity to effects beyond the SM

#### Highly precise SM value

 $R_{K}^{SM}$  = (2.477±0.001) x 10<sup>-5</sup> (with  $\delta_{r}$  = -0.036);  $\delta R_{K}/R_{K}$ =0.04% V. Cirigliano, I. Rosell, Phys. Rev. Lett. 99, 231801 (2007)

 $g_e = g_\mu$ ?

 $v_e, v_\mu$ 

W

### Lepton universality violation in K<sub>12</sub>

#### SUSY with LFV for K<sub>e2</sub>

- Charged Higgs H<sup>+</sup> mediated LFV SUSY
- Large enhancement from  $m_{\tau}^2/m_e^2$
- A sizable effect of  $\Delta R_{\rm K}/R_{\rm K} \sim 1.3\%$  possible
- J. Girrbach and U. Nierste, arXiv:1202.4906;

A. Masiero, P. Paradisi, and R. Petronzio, Phys. Rev. D 74, 011701 (2006); JHEP11, 042 (2008)



FIG. 1. Contribution to the effective  $\bar{\nu}_{\tau} \ell_R H^+$  coupling.

General discussions on SUSY effects

R.M. Fonseca, J.C. Romão, A.M. Teixeira, Eur. Phys. J. C 72, 2228 (2012)

- strong constraints from  $B_s \rightarrow \mu^+ \mu^-$  and  $B_u \rightarrow \tau v$
- $|\Delta R_{\rm K}/R_{\rm K}| \sim O(10^{-3})$

#### Neutrino mixing

 $R_{K}$  constrains neutrino mixing parameters within SM extensions involving

- ◆ 4<sup>th</sup> generation of quarks and leptons H. Lacker, A. Menzel, JHEP07, 006 (2010)
- sterile neutrinos A. Abada et al., JHEP02, 048 (2013) [arXiv: 1211.3052]

#### **Experimental status of** *R*<sub>K</sub>



- E36 stopped  $K^+$ : detector acceptance and target
- E36 complementary to in-flight experiments
- E36 goal: δR<sub>K</sub>/R<sub>K</sub> = ± 0.2% (stat) ± 0.15% (syst) [0.25% total]



### Heavy neutrino search in $K^+ \rightarrow \mu^+ N$ (e<sup>+</sup>N)



- v Minimal Standard Model (vMSM)
  - -- Explanation of DM and BAU
  - -- Possibility of  $M_N \leq M_K$
- monochromatic peaks in  $K^+ \rightarrow \mu^+ N$ ,  $K^+ \rightarrow e^+ N$ 
  - D. Gorbunov and M. Shaposhnikov, JHEP0710, 015 (2007)

#### Heavy neutrino search in $K^+ \rightarrow \mu^+ N$

very approximate



- **BAU** Baryon asymmetry of the Universe
- **DM** Dark matter
- BBN Big bang nucleosynthesis
  - Sterile neutrino searches

L. Canetti, M. Drewes, M. Shaposhnikov, Phys. Rev. Lett. **110**, 061801 (2013)

#### **Projected TREK / E36**

 $BR(K^{\scriptscriptstyle +} \to \mu^{\scriptscriptstyle +}N, \, e^{\scriptscriptstyle +}N) \stackrel{_{\scriptstyle <}}{_{\scriptstyle \sim}} 2\,\times\,10^{\scriptscriptstyle -8}$ 

 $U^2 \stackrel{_{\scriptstyle <}}{_{\scriptstyle \sim}} 3 \, \times \, 10^{\text{-8}}$  for  $M^{}_N$  < 200 MeV

sensitivity for  $M_N > 200$  MeV needs more study

# Search for light U(1) gauge boson A'

• Light mediator of dark force coupled to SM via kinetic mixing; motivated by astrophysics,  $g_{\mu}$ -2, and proton radius puzzle  $R_{p}$ 



# Search for light U(1) gauge boson A'

- Light mediator of dark force coupled to SM via kinetic mixing; motivated by astrophysics, g<sub>µ</sub>-2, and proton radius puzzle R<sub>p</sub>
- If preferred coupling to muons, then not probed by electroproduction
- Measure all charged decay particles and search for peak in the e<sup>+</sup>e<sup>-</sup> invariant mass spectrum in the range 0-380 MeV



#### **Dark photon exclusion limit**



- Mixing parameter: dark photon framework, universal coupling
- Simulated signal channel  $K^+ \rightarrow \mu^+ \nu A'$  for resolution
- Simulated background distribution with BR( $K^+ \rightarrow \mu^+ \nu e^+ e^-$ )=2.5e-5
- Obtain exclusion limit for signal > 2x background fluctuation
- Exclusion limit dependent on resolution and total number of K<sup>+</sup>

### The proton radius puzzle

- 7σ discrepancy between muonic and electronic measurements
- High-profile articles in Nature, NYTimes, etc.
- Puzzle unresolved, possibly New Physics





#### **Proton radius and New Physics**

C. Carlson and B. Rislow, Phys. Rev. D 86, 035013 (2012); [arXiv1206.3587v2]



New Physics involving light U(1) bosons can explain proton radius puzzle Fine tuning, preferred coupling to muon (not electron) – lepton non-universality Emission of  $\Phi$  as radiative correction to K  $\rightarrow \mu \nu$  decay

Experimental limit taken from stopped kaon experiment at Bevatron in 1970's: C. Pang, R. Hildebrand, G. Cable, and R. Stiening, Phys. Rev. D8, 1989 (1973)

E36 can probe entire allowed range: BR( $K^+ \rightarrow \mu^+ \nu A'$ ) ~10<sup>-8</sup>

### **Funding status in Japan**

- Production of AC by "Grant-in-Aid for scientific research" money (Kaken-hi) : 2013 - 2015 (15 M¥)
- Applications to Kaken-hi for other detector parts in 2013: unfortunately unsuccessful in 2012 but we will continue efforts this year for:
  - Basic research (Kiban-S) : 1 application (100 M¥)
  - Basic research (Kiban-A) : 1 application (50 M¥)
  - Basic research (Kiban-B) : 2 applications (2 x 20 M¥)
- International further cooperation : Production of TOF2 counters in Canada
- A certain support from IPNS to keep the detector preparation in 2013. We are also dependent on IPNS money for the He refrigerator system in next FY2014.
- Other small supports : e.g. Osaka University money for MWPC maintenance

# Funding status in the US (Hampton U.)

- Active DOE Early Career Award April 2010 April 2015 (\$799k) includes support for postdoc, grad. student, and travel, limited R&D
- Consultations with DOE and NSF in fall 2012
- Submitted Major Research Instrumentation proposal to NSF in February 2013 (\$450k total, \$300k for C1-GEM)
- Despite very good/excellent reviews, NSF decided not to fund (July 2013)
- Encouraged to re-submit with improvements in January 2014 If funded, monies to become available in summer 2014 Tight schedule to establish C1 GEMs by fall 2014
- Scheduled further consultations with NSF and DOE (October 2013)
- Cost of C1-GEM reduced after re-evaluation \$300k → \$200k
   Can deliver GEMs by fall 2014 if jump-started now (~\$50-100k)

#### **The TREK apparatus for E36**



#### **Tracking and PID**



KEK-PS E246

J-PARC E36

### SciFi-tracker and C1-GEM

- In light of funding uncertainties, collaboration has decided to pursue both SciFi (Japan) and C1 (USA)
- The SciFi cost is modest and can be implemented in the current budget plan
- Redundant tracking configuration
   → reliable momentum determination
- at least 4-point tracking for robust analysis (C1-C4)
   → track segments before and after magnetic field
- at least 3-of-4 point tracking for efficiency control, 4-of-5 point tracking desirable for better accuracy
- E36 is still feasible if only SciFi but no C1-GEM available
- E36 is improved if both SciFi and C1-GEM available

### C1 GEM tracker

For higher tracking performance and accurate efficiency control



12 C1 triple-GEMs to cover muon gaps of CsI(TI)

Stereo readout in shadow of CsI crystals based on APV25-S1 (128 channels per chip)

Active length (z)3Active width (y)1Spatial resolution4Readout strips: pitch4No. of channels (z)7No. of channels (y)2Total no. of channels1APV chips per chamber8Chambers per VME controller2Total cost (\$\$)2

360 mm 100 mm <100 μm 400/500 μm 750 (~6x128) 250 (<2x128) 1000 8 2 2 200,000

Prototype 10x10 cm<sup>2</sup>



APV based frontend readout



Tested at OLYMPUS/DESY

#### **SciFi-tracker**

Double-layer fibers in 2 helicities wrapped around target bundle for near target vertex

Can use spare MPPC channels from fiber target



#### Simulation studies for SciFi tracker

- Implemented the SciFi-tracker, C1-GEM and MWPCs (C2, C3, and C4) in GEANT3 Monte Carlo simulation
- Charged particle tracking was successfully performed by assuming modest SciFi-tracker properties
- Momentum resolution dominated by the energy loss fluctuation in the target, and is found to be similar for both configurations {C1-GEM+C2,C3,C4} and {SciFi+C2,C3,C4}



#### **Prototype SciFi-tracker test**

Kuraray SCSF-78 (Ø 1mm) wrapped on paper pipe (Ø 83 mm) = 11 turns (3m) Double-clad fiber with single-ended MPPC readout Trigger counter inserted, study gain and timing with <sup>90</sup>Sr



Fig. 2 The ADC spectra for the first ring (left) and the last ring.



Require resolution <3 ns to identify turn Expect ~10 p.e. and 0.5 ns resolution

Fig.3 The time spectra spectra for the first ring (left) and the last ring.

### **MWPC re-commissioning**

- C3 and C4 were located far from the beam line in E246
   Opened a few C4 chambers were opened, found to be clean
- Performed HV testing of all C3 and C4 chambers
   Decided not to open C3 and C4 and just check signals



- C2 was set near the beam line in E246 HV tested, but difficult to raise HV up to the operation value Two C2 already opened and cleaned up wires and cathode strips Remaining chambers by spring/summer 2014
- Will re-use E246 readout electronics, still to be tested

### μ<sup>+</sup>/e<sup>+</sup> identification



 $P_{mis}$  (total) =  $P_{mis}$  (TOF) x  $P_{mis}$  (AČ) x  $P_{mis}$  (LG) = 8 x 10<sup>-7</sup> <  $O(10^{-6})$ 

#### **Aerogel Cherenkov counter**

#### Test experiment @ K1.1BR (May 2013)



K1.1BR: +250 MeV/c, sep. ±150 kV F1&F2: 10 mm (H) x 5 mm (V)

Trigger: TOF1xTOF2xBDC3xF1xF2xBDC4

e data: 15000 events  $\mu$  data: 1500 events

Varied aerogel type, mirror type, angles and positions

Data for ~600 combinations taken and analyzed



#### **Aerogel Cherenkov test: Results**



- Design is finalized for mass production
- Aerogel will be produced by the Chiba University group using FY2013 budget of existing Kaken-hi

# **Csl(Tl) calorimeter**



All crystals, preamplifiers and shaping amplifiers checked/fixed; all 768 modules sound Barrel with dry air supply system ready to be transported from KEK to J-PARC

# K<sup>+</sup> stopping target for E36

#### Under construction at TRIUMF/UBC

- 256 pieces of
- 3 x 3 x 200 mm<sup>3</sup> SciFi
- WLS fiber of ~1m
- MPPC readout
- EASIROC electronics
- Ready by spring 2014

200 mm



#### Beam test at TRIUMF in 2011





#### **SC toroidal spectrometer**

Preparation in HENDEL hall

There is some delay in preparation, but:

- Magnet dimension check (gap measurement): done
- Vacuum check: soon
- Installation of a new instrument panel: this year
- Installation of a new He level gauge: this year
- High pressure test and He tightness test: early next year
- Maintenance of power supply (PS) system used in E246
  - The magnet power supply is now being maintained in a factory
     there are a number of parts to be replaced
  - The PS system will be tested soon together with the quench detector using an R load in the factory

The total SC Toroidal spectrometer system should be ready and tested by its installation in the Hadron Hall

### He cryogenics

- Facility
  - Compressor building: redesigned after the accident
  - The building will be constructed within budget of this year
- Component maintenance
  - Cold box is being maintained in NH (Tsukuba)
  - Compressor maintenance by company: this December
- Refrigerator installation
  - Component installation, piping and wiring etc.: next FY
  - System should be ready by the given "time window" for E36
- Control
  - A new refrigerator control program will be developed
  - The magnet monitoring system will be incorporated into the He refrigerator control system

- In order to complete E36 in the proposed short period, we request a K<sup>+</sup> beam at K1.1BR from the heavy T1 target hit by 20-30 kW of proton beam, >30% duty factor, providing near 200 kHz (ave.) K<sup>+</sup> intensity
- We want to take advantage of our the knowledge of the K1.1BR beam characteristics, accumulated in beam tuning since 2010

- Using a heavy T1 target, 1500 kW\*d for LFU as planned, with additional 10 days for control measurements
   [already stage-1 status]
- We surely need engineering run time prior to the physics run in the "time window" or earlier
   [3-4 weeks with a few weeks gap before physics run]
- We wish flexible beam time coordination concerning the deadline of the time window; we would like to pursue the byproduct physics output (additional 900 kW\*d) also by maximizing the experimental efficiency under the given condition

#### Schedule

	FY2012	FY2013	FY2014		FY2015	
Detector	R&D	Construction	ction and setup			
Cryogenics			Installation			
Experiment (time window)				Run		
(in tł	ne case of de			Ru	n	

- Construction of detector: 2013-2014
  - MWPC, AC, PGC, TOF, SciFi tracker : 2013 to early 2014
  - Installation of SC Toroid at K1.1BR : September 2014
  - Support system and detector assembly : 2014
  - Possible addition of C1 GEM : end 2014 to 2015
- The cryogenics system will be constructed in 2014
  - Completion of the compressor building : May 2014
  - Completion of the He refrigerator system : Nov. 2014
  - He refrigerator test operation : Dec. 2014
- The detector will be ready for any case of the Hadron Hall restart options and we will be ready to run in the given time window
  - Our baseline plan is the start of run in Jan. 2015

#### **TREK (E36/E06) Collaboration**

#### CANADA

University of Saskatchewan Department of Physics and Engineering University of British Columbia Department of Physics and Astronomy TRIUMF Universite de Montreal Laboratoire de Physique Nucleaire

#### USA

**University of South Carolina** Department of Physics and Astronomy **Iowa State University** College of Liberal Arts & Sciences **Hampton University** Department of Physics

**RUSSIA Russian Academy of Sciences (RAS)** *Institute for Nuclear Research (INR)* 

#### JAPAN

Osaka University Department of Physics Tohoku University Research Center for ELectron Photon Science (ELPH) Chiba University Department of Physics University of Tokyo Department of Physics Rikkyo University Department of Physics High Energy Accel. Research Organization (KEK) Institute of Particle and Nuclear Studies

**KOREA** Kyungpook National University

VIETNAM University of Natural Sciences

**Currently 33 active collaborators** 

### Summary

- At K1.1BR the experiment E36 is prepared to search for New Physics
  - Lepton Flavor Universality Violation (LFU)
  - Heavy Neutrino Search (HNS)
  - Light Boson Search (LBS)
- Physics results are expected based on established experimental techniques of
  - stopped K<sup>+</sup> method, and
  - super-conducting toroidal spectrometer
- Detector construction has been proceeding
- We request stage-II status and to run E36 before start of COMET beam line construction

### Backup slides

# Search for light U(1) gauge boson A'

• Light mediator of dark force coupled to SM via kinetic mixing; motivated by astrophysics,  $g_{\mu}$ -2, and proton radius puzzle  $R_{p}$ 



# Search for light U(1) gauge boson A'

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- If preferred coupling to muons, then not probed by electroproduction
- Measure all charged decay particles and search for peak in the e<sup>+</sup>e<sup>-</sup> invariant mass spectrum in the range 0-380 MeV



#### Search for a new particle in $K^+ \rightarrow \mu^+ v e^+ e^-$



#### **Dark photon exclusion limit**



- Mixing parameter: dark photon framework, universal coupling
- Simulated signal channel  $K^+ \rightarrow \mu^+ \nu A'$  for resolution
- Simulated background distribution with BR( $K^+ \rightarrow \mu^+ \nu e^+ e^-$ )=2.5e-5
- Obtain exclusion limit for signal > 2x background fluctuation
- Exclusion limit dependent on resolution and total number of K<sup>+</sup>

### The proton radius puzzle

- 7σ discrepancy between muonic and electronic measurements
- High-profile articles in Nature, NYTimes, etc.
- Puzzle unresolved, possibly New Physics





#### **Proton radius and New Physics**

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New Physics involving light U(1) bosons can explain proton radius puzzle Fine tuning, preferred coupling to muon (not electron) – lepton non-universality Emission of  $\Phi$  as radiative correction to K  $\rightarrow \mu \nu$  decay

Experimental limit taken from stopped kaon experiment at Bevatron in 1970's: C. Pang, R. Hildebrand, G. Cable, and R. Stiening, Phys. Rev. D8, 1989 (1973)

E36 can probe entire allowed range: BR( $K^+ \rightarrow \mu^+ \nu A'$ ) ~10<sup>-8</sup>

#### **Schedule and milestones**

Month		Detector		SC Spectrometer		Beam time	Milestone
		construction/ preparation	assembly /installation	Toroid	He system		
2013	10			Preparation in HENDEL			
	11						
	12						
2014	1	MWPC					
	2	AC					
	3	PGC					High pressure test
	4						
	5	Supports			Component installation		Completion of compressor bldg
	6						
	7						
	8				Piping		
	9	C1-GEM	Target CsI(TI) Electronics DAQ		Wiring Control		Toroid installation in K1.1BR
	10					engineering run ?	
	11						Completion of He cryogenics
	12						Test to SC toroid system
2015	1						Start of experiment
	2					Time	
	3					windoew?	
	4						

- 3-4 weeks long
- Prior to physics run, preferably with some time in between
- Was planned for spring 2014, but cryogenics only ready Dec. 2014
- Performance of all detector components with beam and magn. field
  - ◆ Tgt, CsI(TI), SciFi, GEM, MWPC, TOF, AC, PGC
  - Background / raw singles rate in each element
- Optimization of trigger and DAQ for optimal running; online analysis
- Beam tuning to maximize stopping efficiency and K/π ratio

#### **Minutes of PAC16**

#### E36: (Measurement of Γ(K→ev)/ Γ(K→μv) and Search for heavy sterile neutrinos using the TREK detector system)

The proposal for E36 was granted official stage-1 status after the 15th PAC's recommendation.

S.Shimizu presented the progress report of the collaboration, which now has 44 members from Japan, Canada, USA, Russia, Korea and Vietnam. Several new detector parts have been designed and tested since PAC-15, in particular three particle identification detectors for the suppression of fake  $\mu$  tracks: an aerogel Cherenkov counter, a time-of-flight counter array, followed by lead glass counters adapted from the TOPAZ experiment. This triplet of PID detectors has been tested at TRIUMF in a 240 MeV/c (*e*,  $\mu$ )- beam. The overall mis-identification probability was evaluated by multiplying the separately measured rejection factors to be less than 10<sup>-6</sup>. The active segmented target, adapted from E246, will be assembled and tested at TRIUMF until October 2013. The K1.1BR beam was tuned and tested in December 2012. From the beam tuning result, a K<sup>+</sup> rate of 200 kHz with a K/ $\pi$  ratio of 4 is expected for 30kW SX operation, much better than assumed in the proposal.

Critical questions by PAC about the systematic error estimate, in particular about the influence of the radiative corrections on the ratio of acceptances for Ke2 and Kµ2, were answered in the closed session on Jan 10.

Applications for research grants were made in Japan, Canada and the US.